
Report to Audit Committee

Date of Meeting: 17 June 2021

Subject: Audit Committee Forward Plan 2021-22

Report by: Lindsay Thomson, Senior Manager, Legal & Governance

1.0 Purpose

- 1.1. This brief report updates the Audit Committee's forward plan following some changes to the plan which had previously been considered.

2.0 Recommendations

- 2.1. It is recommended that the Audit Committee notes the forward plan contained in Appendix A.

3.0 Considerations

- 3.1. At its meeting on 4 February 2021 the Audit Committee reviewed and noted the forward plan of work for the period 21/22. Since then there have been a number of changes to the timings for some of the reports. These changes have been made for a variety of reasons including, capacity challenges and timing issues. These changes have been discussed with the convenor and vice convenor in the pre-agenda process. This brief report provides some transparency to show when reports that have been moved or delayed, will be considered by the Audit Committee.

4.0 Sustainability Implications

- 4.1. There are no direct sustainability implications arising from the recommendations in this report.

5.0 Resource Implications

5.1. Financial Details

- 5.2. The full financial implications of the recommendations are set out in the report. This includes a reference to full life cycle costs where appropriate.

Yes

5.3. Finance have been consulted and have agreed the financial implications as set out in the report. Yes

5.4. *Staffing*

6.0 Exempt Reports

6.1. Is this report exempt? Yes (please detail the reasons for exemption below) No

7.0 Declarations

The recommendations contained within this report support or implement our Corporate Priorities and Council Policies.

(1) **Our Priorities** (Please double click on the check box)

Clackmannanshire will be attractive to businesses & people and ensure fair opportunities for all

Our families; children and young people will have the best possible start in life

Women and girls will be confident and aspirational, and achieve their full potential

Our communities will be resilient and empowered so that they can thrive and flourish

(2) **Council Policies** (Please detail)

8.0 Equalities Impact

8.1 Have you undertaken the required equalities impact assessment to ensure that no groups are adversely affected by the recommendations?

Yes No

9.0 Legality

9.1 It has been confirmed that in adopting the recommendations contained in this report, the Council is acting within its legal powers. Yes

10.0 Appendices

10.1 Please list any appendices attached to this report. If there are no appendices, please state "none".

Audit Committee Forward Plan 2021-22

11.0 Background Papers

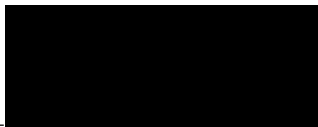
11.1 Have you used other documents to compile your report? (All documents must be kept available by the author for public inspection for four years from the date of meeting at which the report is considered)

Yes (please list the documents below) No

Author(s)

NAME	DESIGNATION	TEL NO / EXTENSION
Lindsay Thomson	Monitoring Officer	2084

Approved by

NAME	DESIGNATION	SIGNATURE
Lindsay Thomson	Monitoring Officer	

Audit Committee Forward Plan 2021

Meeting	Status	Title of Report	Service/Lead Officer
29 APRIL 2021			
	Considered	Council financial outturn: December	Senior Manager: Finance & Revenues
	To September	Corporate Plan Annual Review	Senior Manager: Legal & Governance
	To September	Procurement Strategy Review	Senior Manager: Finance & Revenues
	Considered	Exceptions to Contract Standing Orders	Senior Manager: Finance & Revenues
	Considered	Internal Audit Plan 2021/22	Internal Audit Manager
	Considered – full report to June	External Audit Planning Update Report 2020/21	External Auditor
	To September	Building Security Update	Strategic Director
	To September	Fraud Strategy	Senior Manager: Legal & Governance
	Considered	External Audit actions	Senior Manager: Legal & Governance
17 JUNE 2021			
	To September	Council financial outturn: Year end	Senior Manager: Finance & Revenues
	To September	Funded Organisations 2020/21 – Annual Update (including Impacts of COVID 19 & spotlight on specific orgs)	Senior Manager: Partnership & Transformation
	On agenda	Exceptions to Contract Standing Orders	Senior Manager: Finance & Revenues
	On agenda	Internal Audit Annual Assurance Report 2020/21	Internal Audit Manager
	On agenda	Local Government Benchmarking Framework 2019/20	Senior Manager: Legal & Governance
	On agenda	Corporate Risk & External Audit Actions Update	Senior Manager: Legal & Governance
	To September	Health & Safety Annual Report	Senior Manager: HR & Workforce Planning
	To November with Annual accounts	Annual Governance Statement (including impacts of COVID 19 on governance arrangements)	Senior Manager: Legal & Governance
	On agenda - Carried forward from June	External Audit Planning report	External Audit
	On agenda - New	Covid review update	Senior Manager: Partnership & Transformation
	On agenda - New	Accounts Commission report	Senior Manager: Legal & Governance
30 SEPTEMBER 2021			
	To November	Financial Statements 2020/21	Senior Manager: Finance & Revenues

Meeting	Status	Title of Report	Service/Lead Officer
	To November	Annual report to those charged with Governance and the Controller of Audit for Financial Year Ended 2020-21	External Audit
		Internal Audit Progress Report	Internal Audit
		Council financial outturn: Year End and June	Senior Manager: Finance & Revenues
		Procurement Annual Report & Procurement Strategy Review	Senior Manager: Finance & Revenues
		Exceptions to Contract Standing Orders	Senior Manager: Finance & Revenues
		Annual Complaints Report	Senior Manager: Legal & Governance
		Cyber Security Report	Senior Manager: Partnership & Transformation
		Funded Organisations 2020/21 – Annual Update (including Impacts of COVID 19 & spotlight on specific orgs)	Senior Manager: Partnership & Transformation
		Health & Safety Annual Report	Senior Manager: HR & Workforce Planning
		Fraud Strategy	Senior Manager: Legal & Governance
		Building Security Update	Director Partnership & Performance
		Covid debrief report	Senior Manager: Partnership & Transformation
		External Audit actions	Senior Manager: Legal & Governance
		Corporate Plan Annual Review	Senior Manager: Legal & Governance
25 NOVEMBER 2021			
NOTE CHANGE OF DATE		Council financial outturn: August	Senior Manager: Finance & Revenues
		Exceptions to Contract Standing Orders	Senior Manager: Finance & Revenues
		Corporate Risk & External Audit Actions Update	Senior Manager: Legal & Governance
		Internal Audit Progress Report	Internal Audit Manager
		National Scrutiny Plan	Strategic Director
		Financial Statements 2020/21	Senior Manager: Finance & Revenues
		Annual report to those charged with Governance and the Controller of Audit for Financial Year Ended 2020-21	External Auditor
3 FEBRUARY 2022			

Meeting	Status	Title of Report	Service/Lead Officer
		Council financial outturn: October	Senior Manager: Finance & Revenues
		Annual Fraud Report	Senior Manager: Legal & Governance
		External Audit Planning Report	External Auditor
		Audit Forward Plan	Strategic Director
		Exceptions to Contract Standing Orders	Senior Manager: Finance & Revenues