
Report to Audit Committee

Date of Meeting: 4 February 2020

Subject: Audit Committee Forward Plan 2021/22

Report by: Strategic Director, Partnership & Performance

1.0 Purpose

1.1. The purpose of this report is to present a forward plan for Audit Committee.

2.0 Recommendations

2.1. It is recommended that Committee:

2.2. endorse the forward plan as set out at Appendix 1, subject to it being approved by Council;

3.0 Considerations

3.1. This report outlines a schedule of reports (Appendix 1) for Audit Committee up to March 2022. The Forward Plan reflects the remit of Audit Committee as approved by Council in August 2018.

3.2. Whilst the Plan covers the Committee's core remit, it should be noted that it may vary during the course of the year to accommodate unanticipated developments.

3.3. There are no financial implications arising from this report.

4.0 Sustainability Implications

4.1. There are no sustainability implications arising from this report.

5.0 Resource Implications

5.1. Financial Details

5.2. The full financial implications of the recommendations are set out in the report. This includes a reference to full life cycle costs where appropriate.

Yes

5.3. Finance have been consulted and have agreed the financial implications as set out in the report. Yes

5.4. *Staffing – there are no staff implications arising from this report.*

6.0 Exempt Reports

6.1. Is this report exempt? No

7.0 Declarations

The recommendations contained within this report support or implement our Corporate Priorities and Council Policies.

(1) **Our Priorities** (Please double click on the check box)

- Clackmannanshire will be attractive to businesses & people and ensure fair opportunities for all
- Our families; children and young people will have the best possible start in life
- Women and girls will be confident and aspirational, and achieve their full potential
- Our communities will be resilient and empowered so that they can thrive and flourish

(2) **Council Policies** (Please detail)

8.0 Equalities Impact

8.1 Have you undertaken the required equalities impact assessment to ensure that no groups are adversely affected by the recommendations? An EQIA is not applicable in this context.

9.0 Legality

9.1 It has been confirmed that in adopting the recommendations contained in this report, the Council is acting within its legal powers. Yes

10.0 Appendices

10.1 Please list any appendices attached to this report. If there are no appendices, please state "none".

Appendix 1 – Audit Committee Forward Plan 2021/22

11.0 Background Papers

11.1 Have you used other documents to compile your report? (All documents must be kept available by the author for public inspection for four years from the date of meeting at

which the report is considered)


Yes (please list the documents below)

- **Council Decision Making Framework – Update, Report to Council 23 August 2018**

Author(s)

NAME	DESIGNATION	TEL NO / EXTENSION
Stuart Crickmar	Strategic Director	2127

Approved by

NAME	DESIGNATION	SIGNATURE
Stuart Crickmar	Strategic Director	

Audit Committee Forward Plan 2021

Meeting	Date	Title of Report	Service/Lead Officer
APRIL 2021			
AUDIT	29 APRIL	Council financial outturn: December	Senior Manager: Finance & Revenues
		Corporate Plan Annual Review	Senior Manager: Legal & Governance
		Procurement Strategy Review	Senior Manager: Finance & Revenues
		Exceptions to Contract Standing Orders	Senior Manager: Finance & Revenues
		Internal Audit Plan 2021/22	Internal Audit Manager
		External Audit Management Report 2020/21	External Auditor
		Building Security Update	Strategic Director
		Fraud Strategy	Senior Manager: Legal & Governance
JUNE 2021			
AUDIT	17 JUNE	Council financial outturn: Year end	Senior Manager: Finance & Revenues
		Funded Organisations 2020/21 – Annual Update (including Impacts of COVID 19 & spotlight on specific orgs)	Senior Manager: Partnership & Transformation
		Exceptions to Contract Standing Orders	Senior Manager: Finance & Revenues
		Internal Audit Annual Assurance Report 2020/21	Internal Audit Manager
		Local Government Benchmarking Framework 2019/20	Senior Manager: Legal & Governance
		Corporate Risk & External Audit Actions Update	Senior Manager: Legal & Governance
		Health & Safety Annual Report	Senior Manager: HR & Workforce Planning
		Annual Governance Statement (including impacts of COVID 19 on governance arrangements)	Senior Manager: Legal & Governance
SEPTEMBER 2021			
AUDIT	30 SEPTEMBER	Financial Statements 2020/21	Senior Manager: Finance & Revenues
		Annual report to those charged with Governance and the Controller of Audit for Financial Year Ended 2020-21	External Auditor
		Internal Audit Progress Report	Internal Audit
		Council financial outturn: June	Senior Manager: Finance & Revenues
		Procurement Annual Report	Senior Manager: Finance & Revenues
		Exceptions to Contract Standing Orders	Senior Manager: Finance & Revenues
		Annual Complaints Report	Senior Manager: Legal & Governance

Meeting	Date	Title of Report	Service/Lead Officer
		Cyber Security Report	Senior Manager: Partnership & Transformation
DECEMBER 2021			
AUDIT	2 DECEMBER	Council financial outturn: August	Senior Manager: Finance & Revenues
		Exceptions to Contract Standing Orders	Senior Manager: Finance & Revenues
		Corporate Risk & External Audit Actions Update	Senior Manager: Legal & Governance
		Internal Audit Progress Report	Internal Audit Manager
		National Scrutiny Plan	Strategic Director
FEBRUARY 2022			
AUDIT	3 FEBRUARY	Council financial outturn: October	Senior Manager: Finance & Revenues
		Annual Fraud Report	Senior Manager: Legal & Governance
		External Audit Planning Report	External Auditor
		Audit Forward Plan	Strategic Director
		Exceptions to Contract Standing Orders	Senior Manager: Finance & Revenues