
Report to: Audit Committee

Date of Meeting: 3 December 2020

Subject: Council Financial Performance 2020/21 – August Outturn

Report by: Chief Finance Officer

1.0 Purpose

- 1.1 This paper provides an update on the financial performance for the Council, as at August 2020, in respect of the:
- General Fund (GF) revenue and capital spend and the achievement of savings to date, for the current financial year, 2020/21; and
 - Housing Revenue Account (HRA) revenue and capital spend, for the current financial year, 2020/21.

2.0 Recommendations

- 2.1 Committee is asked to note the report, commenting and challenging as appropriate on:
- 2.1.1 GF revenue spend is forecasting an overspend of £2.220m for the year to 31 March 2021;
- 2.1.2 the Clackmannanshire element of the Health and Social Care Partnership (H&SCP) is forecasting an underspend for the year of £(0.091)m;
- 2.1.3 the HRA forecasted revenue surplus over budgeted surplus for the year of £(1.307m) to 31 March 2021;
- 2.1.4 the Capital programme for HRA is currently forecasting an underspend of £(2.110)m;
- 2.1.5 the General Fund Capital Programme underspend of £(1.956)m, and
- 2.1.6 progress to date in delivering the £3.343m savings programme, currently forecast to be 87.3%, as at 31 March 2021.
- 2.2 Committee is asked to approve:
- 2.2.1 referral to Council for approval of additional capital budget of £0.100m to support the Bowmar Regeneration project as set out in para 6.4 and 6.5.

3.0 Background

- 3.1 This report summarises the forecasted financial position of the Council for the financial year ended 31 March 2021. This report consolidates all of the detailed financial data to provide a summary position. The report also provides details of individual Directorate positions.

4.0 General Fund Revenue

- 4.1 As at 31 August 2020 the General Fund is forecasting an overspend of £2.220m and the Clackmannanshire element of the H&SCP is forecasting an underspend of £ (0.091)m, which brings the Council's overall position to an overspend of £2.129m for the year to March 2021.
- 4.2 **Appendix 1** provides the breakdown by each Directorate and centrally held Corporate along with the position for Partnerships and Sources of Funding. Detailed variance analysis has been provided to each portfolio Committee.

5.0 Clackmannanshire & Stirling Health and Social Care Partnership (H&SCP)

- 5.1 The Clackmannanshire element of the H&SCP is forecasting an underspend of £(0.091)m this is an adverse movement of £0.387m since last reported to Council in September 2020.
- 5.2 Detail of the forecast variances that make up this underspend are shown in **Appendix 3** which has been provided to the partnership for inclusion in their consolidated financial report up to the end of August 2020.
- 5.3 Key variations are summarised as follows:
- £0.867m underspend on employee costs due to vacancies;
 - £0.740m underspend on Nursing Homes due to a reduction in occupied nursing home places;
 - £1.498m overspend on Care at Home due to an increase in weekly hours provided;
 - £0.610m underspend on Misc Third party Payments to other agencies, to be reallocated to reflect service provision, and
 - £0.432m shortfall in income due to less people being in short term care.
- 5.4 This forecast is subject to a significant degree of uncertainty owing to Covid19. Whilst the forecast is based on current commitments and activity levels, these are subject to change depending on the future course of the pandemic and the future configuration and design of services aligned to the partnerships strategic priorities.

The forecast assumes that service volumes will continue at their current levels but any further increases in activity levels will directly impact forecast expenditure. In addition the forecast does not include support payments to care providers and other exceptional costs relating to Covid19 that are being

managed centrally through the Partnership and charged to the Local Mobilisation Plan (LMP) Funding being received from Scottish Government.

The key issue for the Partnership will be to what extent the additional funding provided through the Mobilisation Fund will fully meet the additional costs associated with Covid19 including the impact on the Transforming Care Programme and associated savings delivery. Clarity on this point continues to be sought from the Scottish Government.

- 5.5 Budgets will look to be realigned to reflect changing demands on categories of care.

6.0 General Fund Capital

- 6.1 General Fund capital is forecasting an underspend of £(1.956)m to March 2021.

- 6.2 Due to the current COVID-19 pandemic a number of capital projects have been identified and reprioritised and re-phased into future years whilst some others are at risk of ongoing into 2021/22..

- City Deal
- Parking Management Scheme
- Social Services IT System
- Clackmannanshire Regeneration

The budgets for these projects will be reviewed as part of the 2021/22 budget setting process and carried forward into future years if required.

- 6.3 A summary of the projected outturn position for each of the Asset plans with main variances are shown in the table below.

Table 1 - GF Capital Budget Variances

Asset Management Strategy	Budget	Projected to 31 March 2021	Over / (under) Spend	Main Variances
	£m	£m	£m	
Corporate	11.955	10.504	(1.451)	£0.6m underspend on City deal mainly dependent on 3 rd party progressing – will be carried forward into next year. £0.064m underspend on Community investment grants due to COVID and availability of contractors £0.840m underspend on Tullibody south and £0.192m overspend at Menstrie. £0.093m underspend on Community asset Transfer due to COVID delaying progress. Will be carried forward into 21-22.

Asset Management Strategy	Budget	Projected to 31 March 2021	Over / (under) Spend	Main Variances
	£m	£m	£m	
Property	1.446	1.447	0.001	Projects forecasted on budget at this time but risk they will continue into 21-22.
Roads	2.828	2.733	(0.095)	£0.095m underspend on Parking Management Scheme as project reprioritised into future years.
Land	0.180	0.179	(0.001)	Forecasted on budget
Fleet	1.998	1.998	-	Forecasted on budget
IT	2.053	1.643	(0.410)	£0.393m underspend on Social Services IT system to be carried forward into future years.
Gross Capital Expenditure	20.460	18.504	(1.956)	
Income	(7.824)	(7.824)	0	Forecasted on budget
Net Capital Programme	12.636	10.680	(1.956)	

6.4 The Council has recently been successful in its bid for £500,000 funding to regenerate the Bowmar Centre to become an SQA learning centre and Community Hub with links to the local Forth Valley College, the City Deal and support connectivity by providing free access, support, training and eLearning. It will also develop opportunities for skills development, volunteering, and training in line with the newly proposed Youth Guarantee. As part of the bid, a contribution of £100k from the Councils capital budget is required to enable the centre to become a digital hub.

6.5 The Committee is asked to refer to Council for approval of the £100k contribution to enable this contribution to be funded. This will be met from within the existing 2020/21 capital budget from current project underspends.

7.0 Progress on 2020/21 Approved Savings

7.1 At its budget meeting in February 2020, Council approved savings of £3.343m. The table below shows the split of these savings across Directorates and indicates the forecasted achievement of those savings by 31 March 2021.

Table 1: General Services Revenue Budget 2020/21 distribution of planned savings by directorate and forecasted achievement.

Directorate	Approved Savings 2020/21 £'000	Achieved/ Likely to be achieved £'000	At risk £'000	Unlikely to be achieved £'000	Unlikely to be achieved due to COVID £'000
People	1,345	1,314	31	-	-
Place	1,187	951	-	236	236
Partnership & Performance	811	653	158	-	-
Total	3,343	2,918	189	296	236
		87.3%	5.7%	7.1%	7.1%

7.2 The above table indicates that 87.3% of savings have been or are likely to be achieved, with a further 12.8% at risk or unlikely to be achieved in 2020/21. The improvement is mainly due to the achievement of the Education Devolved schools saving, The achievement of savings has been significantly impacted by the Covid-19 pandemic with £0.236m unachieved as a result. Further detail of progress on individual savings within each directorate is provided in **Appendix 2**.

7.3 Managers are working towards achieving the approved level of savings or identifying compensatory savings to ensure a balanced budget.

8.0 Financial Risks

The forecasts provided above are based on information currently available. The Covid-19 pandemic has had a significant impact on the councils financial position; increased and additional costs, loss of income, the ability to achieve savings offset by some small savings from Services that are not being fully delivered.

Fluctuations in forecasts are to be expected throughout the year as the current situation develops and further updates will be brought to Council as required.

9.0 Housing Revenue Account (HRA)

9.1 Revenue

The HRA Revenue account is forecasting a surplus of £(6.480)m which is £(1.307)m above the budgeted surplus. **Appendix 4** provides details of the forecasted spend to 31st March 2021 with details of variances in **Appendix 5**.

Arrears and rental income is being closely monitored for any further impact on the expected surplus.

9.3 Capital

9.4 The HRA Capital Programme is forecast to underspend by £(2.110)m against a budget of £8.665m inclusive of carry forwards from 2019/20.

9.5 The underspends and main programme updates are as follows (still subject to further Covid restrictions and engagement with tenant base as any localised lockdown could significantly impact this outturn):

Roof and Render – works fully back on site and expect to be fully spent.

Alva Weir Muticon – Contractor taking forward two pilot homes, this will require a report to council for further governance to complete the remaining homes.

Window Replacement - the Contractor has confirmed they can supply an additional two teams to work towards installing the majority of windows in this years programme. This will half the timescale (subject to winter weather) for completion..

Fencing and gates – programme on site and expected to fully spend the budget.

Kitchen Replacement Programme – This programme has been suspended. The work is carried out by our own tradespeople. This means that at present an underspend of £(0.950)m is forecast which also impacts on the income achieved within the HRA revenue account

Asbestos Removal Works – The reduced amount of Electrical testing being undertaken this year will impact the ability to spend this budget.

Door entry spend is at risk, as procurement renewal required for new contract.

Travelling person site – report from March Council delayed, there will be a report to Council to ensure appropriate governance.

IT Infrastructure – A programme of desktop replacement and roll out of standard home working kit for 110 housing and property staff has been undertaken. The staff set up was completed by Monday 17th August to enable full blended working across housing and property. This means the staff are well placed to react to providing statutory obligations in the event of any localised shutdowns. To fund this programme a Virement of £50k from Project 10078 (Windows Replacement) was approved by Strategic Director and Section 95 officer in line with financial regulations.

9.6 **Appendix 6** provides details of the approved budgets and forecast on the HRA capital programme for the current year. This provides detail of the individual projects within the various asset management plans.

10.0 Conclusions

- 10.1 General Fund revenue spend is forecasting an overspend of £2.200m for the year to 31 March 2021.
- 10.2 The Clackmannanshire element of the H&SCP is forecasting an underspend of £(0.091)m for the year to 31 March 2021.
- 10.3 The Housing Revenue Account (HRA) revenue is forecasting a surplus over budget for the year of £(1.307)m to 31 March 2021.
- 10.4 The GF Capital programme is forecasting an underspend of £(1.956)m.
- 10.5 The HRA Capital programme is forecasting an underspend of £(2.110)m.
- 10.6 Of the £3.343m approved savings programme, as at 30 June 2020, 87.3% are forecast to be achieved.

11.0 Sustainability Implications

- 11.1 There are no direct environmental sustainability implications arising from this report.

12.0 Resource Implications

12.1 *Financial Details*

- 12.2 The full financial implications of the recommendations are set out in the report. This includes a reference to full life cycle costs where appropriate. Yes ☒

- 12.3 Finance has been consulted and has agreed the financial implications as set out in the report. Yes ☒

12.4 *Staffing*

- 12.5 There are no direct staffing implications arising from this report.

13.0 Exempt Reports

- 13.1 Is this report exempt? Yes ☐ (please detail the reasons for exemption below) No ☒

14.0 Declarations

The recommendations contained within this report support or implement our Corporate Priorities and Council Policies.

- (1) **Our Priorities** (Please double click on the check box ☒)

Clackmannanshire will be attractive to businesses & people and ensure fair opportunities for all ☐

- Our families; children and young people will have the best possible start in life ☐
- Women and girls will be confident and aspirational, and achieve their full potential ☐
- Our communities will be resilient and empowered so that they can thrive and flourish ☐

(2) Council Policies (Please detail)

15.0 Equalities Impact

- 15.1 Have you undertaken the required equalities impact assessment to ensure that no groups are adversely affected by the recommendations?
 Yes ☐ No ☒

16.0 Legality

- 16.1 It has been confirmed that in adopting the recommendations contained in this report, the Council is acting within its legal powers. Yes ☒

17.0 Appendices

- 17.1 Please list any appendices attached to this report. If there are no appendices, please state "none".

Appendix 1 – Council Summary at August 2020

Appendix 2 – Summary Savings by Directorate at August 2020

Appendix 3 – HSCP Variances at August 2020

Appendix 4 – HRA Revenue Outturn at August 2020

Appendix 5 – HRA Variances at August 2020

Appendix 6 – HRA Capital Outturn at August 2020

Appendix 7- General Fund Capital Outturn at August 2020

18.0 Background Papers

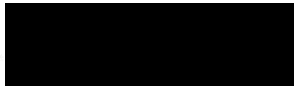

18.1 Have you used other documents to compile your report? (All documents must be kept available by the author for public inspection for four years from the date of meeting at which the report is considered)

Yes ☐ (please list the documents below) No ☒

Author(s)

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Approved by

NAME	DESIGNATION	SIGNATURE
Lindsay Sim	Chief Finance Officer	
Stuart Crickmar	Director of Partnership & Performance	

	Annual Budget 2019/20 £'000	Forecast to March 2020 £'000	Variance Forecast to Budget £'000
<u>Directorate</u>			
People	74,681	77,468	2,787
Place	18,300	18,154	(146)
Partnership & Performance	19,766	19,840	74
Corporate Services	6,502	6,006	(496)
	<u>119,248</u>	<u>121,468</u>	<u>2,220</u>
<u>Partnerships</u>			
Central Scotland Valuation	454	454	(0)
Health & Social Care Partnership	19,041	18,950	(91)
	<u>19,495</u>	<u>19,404</u>	<u>(91)</u>
<u>Sources of Funding</u>			
General Revenue Funding/Non-Domestic Rates	(113,160)	(113,160)	(0)
Council Tax	(23,763)	(23,763)	0
	<u>(136,923)</u>	<u>(136,923)</u>	<u>(0)</u>
Application of unapplied Capital receipt	(1,160)	(1,160)	(0)
Contribution from Earmarked Reserves	(660)	(660)	0
	<u>(138,743)</u>	<u>(138,742)</u>	<u>(0)</u>
Projected (Under)/Overspend	<u>(0)</u>	<u>2,129</u>	<u>2,129</u>

Summary Savings 2020-21 by Directorate
As at 31 August 2020

APPENDIX 2

	Achieved/ Likely to be achieved	Amber	Red	Total Approved Savings
	£	£	£	£
Total Achieved				
People	1,314,323	31,000	0	1,345,323
				0
Place	951,109	0	236,000	1,187,109
				0
Performance & Partnerships	652,674	158,000	0	810,674
Total approved savings	2,918,106	189,000	236,000	3,343,106
	87.3%	5.7%	7.1%	

Annual Budget 2020/21	Annual Budget 2020/21	Forecast to March 2021	Variance Forecast to Budget at August	Variance due to Covid	Variance due to Non Covid	Variance Forecast to Budget at June	Variance movement June to August	Narrative
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	
Employees								Underspend across a range of services: including: Integrated Mental Health £(414k); Disability Day Care £(198k); Assessment & Care Management £(210k); Business Support £(145k). There is a forecast overspend at Menstrie House of £100k. A proposal to re-align budgets and direct resources to those areas under pressure is to be brought forward.
	8,501	7,634	(867)	0	(867)	(842)	(25)	
Long Term Care								
Nursing Homes								Nursing Home places fell by 30 at the start of the year but have now started to rise and now stand at around 160 as homes have re-opened to admissions. Savings will be offset by Relief Payments to Providers through the Mobilisation Fund.
	7,594	6,855	(740)	(740)	0	(1,034)	294	
Residential Homes	3,594	3,593	(1)	0	(1)	18	(19)	Overall numbers remain stable at around 60.
Community Based Care								
Care at Home								Current commitments have increased by 400 hours/week since the start of the year to stand at 10,700 hours/week.
	7,176	8,674	1,498	0	1,498	1,316	182	
Day Care	230	268	39	0	39	67	(28)	Day Care for approximately 42 service users of which 19 have complex needs.
Direct Payments	953	954	2	0	2	58	(56)	Numbers are stable with 70 service users receiving a direct payment.
Housing Aids and Adaptations	159	164	5	0	5	5	0	Demand driven adaptations within private sector homes.
Housing with Care	163	278	115	0	115	115	(0)	This budget is for Supported Accommodation provided by external organisations
Respite	129	87	(42)	0	(42)	(76)	34	Costs for respite have increased since June as Respite plans for the year are confirmed.
Misc. Third Party Payments								This heading covers various payments to other agencies including other local authorities, registration fees and payments to voluntary organisations. The underspend relates to growth funding awarded in the 2020/21 budget that is to be allocated. A report will go to IJB to realign budgets
	1,771	1,160	(610)	0	(610)	(610)	(0)	
Supplies and Services								
Supplies and Services								This heading includes equipment, house adaptations, food and insurance, postage, printing and administration. The forecast over spend relates to increased levels of spend on equipment servicing and maintenance £(17k) Insurance, £(18k) and Office Costs £(26k).
	372	433	61	0	61	61	0	
Premises Expenditure	11	25	13	0	13	13	0	The budget covers cleaning materials within operational buildings.
Transport Expenditure	48	43	(5)	(5)	0	(5)	(0)	Travel costs are forecast to underspend as a result of reduced amounts of travel in the first quarter.
Income								
Income								Income forecast is less than budget this year as a result of a reduced level of client contributions. This is as a result of less people being in long term care (Covid related).
	(4,390)	(3,958)	432	432	0	427	5	
Resource Transfer (Health)	(7,271)	(7,262)	9	0	9	9	0	Includes Income from NHS, integration funding and contributions for complex care.
Total	19,040	18,950	(91)	(312)	222	(478)	387	

<i>Description</i>	<i>Annual Budget for 2020/21 £'000</i>	<i>Annual Forecast for 2020-21 £'000</i>	<i>Variance Forecast v Budget £'000</i>
Chief Officers Gross Salaries	23,968	23,968	0
Chief Officers Employers Superann	5,273	5,393	120
Chief Officers Employers NIC	2,996	3,001	5
Single Status Gross Salaries	5,748,751	5,209,524	(539,227)
Single Status Employers Superann	1,299,170	1,121,264	(177,906)
Single Status Employers NIC	543,758	548,304	4,546
Single Status Overtime	260,000	388,571	128,571
Single Status Sick Pay	0	9,288	9,288
Long Service Awards	2,350	2,350	0
Childcare Vouchers Admin Costs	2,160	108	(2,052)
Employee Management Costs	3,000	3,000	(0)
Staff Training	88,000	36,700	(51,300)
Employee Related Expenditure Total	7,979,426	7,351,471	(627,955)
Premises Related Expenditure			
Annual Maintenance External Providers	300,000	300,000	0
Cleaning & Hygiene Materials	1,500	1,500	(0)
Gas	6,000	6,000	0
Electricity	20,000	18,350	(1,650)
Void Rent Loss	450,000	436,500	(13,500)
Non Domestic Rates	3,000	16,766	13,766
Council Tax	10,000	17,120	7,120
Property Insurance	203,000	182,640	(20,360)
Bad Debt Provision	400,000	515,000	115,000
Building Costs - Recharges Internal	103,000	103,000	(0)
Land Services - Internal Recharges	50,000	35,000	(15,000)
Premises Related Expenditure Total	1,546,500	1,631,876	85,376
Transport Related Expenditure			
Short Term Vehicle Hire	4,000	4,000	(0)
Staff Travel Mileage Expenses	23,500	5,805	(17,695)
Vehicles - Maintenance Recharges	345,000	315,900	(29,100)
Transport Related Expenditure Total	372,500	325,705	(46,795)
Supplies and Services			
Purchase Of Equipment	23,070	21,500	(1,570)
Purchase Of Furniture	500	21,000	20,500
Storage & Removal Charges	2,000	0	(2,000)
Materials (issued from Stock)	830,000	600,000	(230,000)
Materials - Direct purchases from suppliers	750,000	322,030	(427,970)
General Consumables (small items)	35,500	35,500	0
Equipment Maintenance	10,000	23,000	13,000
Equipment Rental/Leasing	20,000	20,000	(0)
Scaffold Hire	50,000	75,000	25,000
Medical Supplies	1,100	100	(1,000)
Hospitality	100	0	(100)
Uniforms & Clothing	6,280	5,500	(780)
Office Equipment - Purchases	3,650	150	(3,500)
Printing & Photocopying	7,800	4,750	(3,050)
Stationery	6,260	6,810	550
Publications	500	0	(500)
Insurance	32,120	32,265	145
Professional Fees	52,150	43,000	(9,150)

Description	Annual Budget for 2020/21	Annual Forecast for 2020-21	Variance Forecast v Budget
	£'000	£'000	£'000
Performing Rights	300	0	(300)
Postages	8,000	3,200	(4,800)
Legal Expenses	32,000	11,869	(20,131)
Subscriptions	20,600	12,500	(8,100)
Telephones	150	150	0
Mobile Telephones	33,810	20,950	(12,860)
Computer Hardware Purchase	53,400	53,466	66
Computer Software Purchase	150,000	102,175	(47,825)
Computer Software Maint.	32,000	80,190	48,190
Supplies and Services Total	2,161,290	1,495,105	(666,185)
Third Party Payments			
Other Council Accounts	552,620	530,700	(21,920)
Voluntary Organisations Payment	67,730	29,400	(38,330)
Payments To Contractors	98,200	53,700	(44,500)
Payment To Subcontractor	525,000	425,000	(100,000)
Payments To Individuals	0	100,000	100,000
Third Party Payments Total	1,243,550	1,138,800	(104,750)
Support Services			
Central Support Allocation	1,204,000	1,204,000	0
Support Services Total	1,204,000	1,204,000	0
Capital Financing Costs			
Loans Fund Interest	1,196,050	1,154,614	(41,436)
Debt Management Expenses	23,370	22,263	(1,107)
Principal Repayments	768,410	596,410	(172,000)
Capital Financing Costs Total	1,987,830	1,773,287	(214,542)
Total Gross Expenditure	16,495,096	14,920,243	(1,574,853)
Income			
Charges for Services Standard VAT	(61,400)	(10,500)	50,900
Other Income	(5,740)	(228)	5,512
Housing Rents	(19,840,760)	(19,840,630)	130
General Rents	(62,350)	(65,000)	(2,650)
Interest(Revenue Balance)	(47,580)	(7,000)	40,580
Internal Trading Contract	(1,650,000)	(1,477,000)	173,000
Income Total	(21,667,830)	(21,400,358)	267,472
Net Expenditure	(5,172,734)	(6,480,114)	(1,307,380)

Place Division
HRA Variances and movement at 31st August 2020

Appendix 5

<i>Housing Revenue Account</i>	<i>Annual Budget 2020/21</i>	<i>Forecast to March 20210</i>	<i>Variance Forecast to Budget at August</i>	<i>Variance Forecast to Budget at June</i>	<i>Variance movement June to August</i>	<i>Narrative</i>
	<i>£'000</i>	<i>£'000</i>	<i>£'000</i>	<i>£'000</i>	<i>£'000</i>	
Employee expenditure	7,979	7,351	(628)	(532)	(96)	Vacant posts within the budget have been forecast to remain so for the rest of the year. Examination of the training that can safely be delivered has reduced the forecast along with savings in Tenancy team & Repairs centre.
Premises expenditure	1,547	1,632	85	158	(72)	Bad debt provision forecast to be overspent based on last year's actual and this years circumstances. The current level of void houses is low leading to a reduced rent loss along with work undertaken by internal services.
Transport expenditure	373	326	(47)	(31)	(16)	Forecast at present to underspend even with the requirement for more vehicles to ensure safe methods of travel. Reduced staff travel costs is now being forecast.
Supplies and Servcies	2,161	1,495	(666)	(11)	(655)	There has been a significant reduction on the forecast expenditure on direct materials and stock within the repairs service. Covid has resulted in a complete appraisal of safe working practices and the subsequent reduction on jobs carried out has resulted in the decrease.
Third Party Payments	1,244	1,139	(105)	(20)	(84)	The reliance on sub contractors has fallen by £100,000 which has been replaced by support for tenants impacted by covid. The reduction from June is in relation to the delay in taking forward the tenant participation work again covid related.
Support services	1,204	1,204	0	0	0	
Capital financing costs	1,988	1,773	(215)	(43)	(172)	Reduced interest costs as borrowing significantly less than originally anticipated.
Total Gross Expenditure	16,495	14,920	(1,575)	(480)	(1,095)	
Income	(21,668)	(21,400)	267	258	9	The Service is still unable to carry out the replacement kitchen programme safely but has replaced some of this shortfall with work done on the learning estate.
Total Net Expenditure	(5,173)	(6,480)	(1,307)	(222)	(1,086)	

	Project Code	20-21 Net Budget	Gross Expenditure to 31/08/20	Income to 31/08/20	Net Expenditure to 31/08/20	Forecast as at 31/08/20	Forecast to Budget Variance	June Forecast	Movement from June Forecast	Comment	C/F to 2021-22
Housing Capital Programme 2020-21 Period to August 2020											
SCOTTISH HOUSING QUALITY STANDARD											
TACKLING SERIOUS DISREPAIR PRIMARY BUILDING ELEMENTS											
Structural Works											
Structural Upgrades	10192	170,000			0	200,000	30,000	170,000	30,000	Significant work required on retaining walls in Sauchie	
Asbestos Testing for Council Houses	10071	15,000	886		886	15,000	0	15,000	0		
Asbestos Removal Works for Council Houses	10072	65,000	4,743		4,743	30,000	(35,000)	30,000	0	Less internal evasive work reduces discovery of asbestos	
Structural Works		250,000	5,629	0	5,629	245,000	(5,000)	215,000	30,000		
SECONDARY BUILDING ELEMENTS											
Damp/Rot											
Damp & Rot Works	10074	120,000	187		187	120,000	0	120,000	0	Projects identified with contractor	
Damp/Rot		120,000	187	0	187	120,000	0	120,000	0		
Roofs / Rainwater / External Walls											
Roof & Render Upgrading Works	10196	1,000,000	1,503	0	1,503	1,000,000	0	1,000,000	0	Programme of work started with follow on identified. Contractor resourced up to deliver.	
Roofs / Rainwater / External Walls		1,000,000	1,503	0	1,503	1,000,000	0	1,000,000	0		
Windows											
Window Replacement	10078	1,310,000			0	1,310,000	0	1,310,000	0	Up & running at £140,000 a month now working well	
Windows		1,310,000	0	0	0	1,310,000	0	1,310,000	0		
		2,430,000	1,690	0	1,690	2,430,000	0	2,430,000	0		
ENERGY EFFICIENCY											
Central Heating - Design and Installation 2019-22	10193	300,000	50,222		50,222	300,000	0	300,000	0	PH Jones to meet EESH target dec 20 and upgrading voids as required	
Weir Multicon Upgrade 2018-2020	10178	750,000	585		585	750,000	0	750,000	0	Report to Council following assessment of pilot houses.	
Full/Efficient Central Heating		1,050,000	50,807	0	50,807	1,050,000	0	1,050,000	0		
MODERN FACILITIES & SERVICES											
Kitchen Renewal											
Kitchen Replacement 2017-20	10158	1,050,000	600		600	100,000	(950,000)	500,000	(400,000)	Impact of Covid and requirement of multi-trades reduces expenditure to voids.	
Kitchen Renewal		1,050,000	600	0	600	100,000	(950,000)	500,000	(400,000)		
Bathrooms											
2016-20 Bathroom Replacements PCU Team	10141	50,000	9,655		9,655	50,000	0	50,000	0		
Bathrooms		50,000	9,655	0	9,655	50,000	0	50,000	0		
		1,100,000	10,255	0	10,255	150,000	(950,000)	550,000	(400,000)		
HEALTHY, SAFE & SECURE											
Safe Electrical Systems / CO Detectors											
Safe Electrical systems 2018-22	10171	1,200,000	24,370		24,370	1,200,000	0	1,200,000	0	Deadline now May 21 for Tolerable Standard Compliance will require using our own resources in voids. EESH compliance remains a priority.	
Safe Electrical Systems		1,200,000	24,370	0	24,370	1,200,000	0	1,200,000	0		
Communal Areas (Environmentals)											
External Works : Fencing, Gates, Paths	10090	180,000			0	180,000	0	180,000	0	Procurement in progress with work identified. The issues with joint owners remain with blocks identified.	
Door Entry Upgrade Term Contract 2016-20	10160	200,000			0	100,000	(100,000)	200,000	(100,000)		
Communal Areas (Environmentals)		380,000	0	0	0	280,000	(100,000)	380,000	(100,000)		
		1,580,000	24,370	0	24,370	1,480,000	(100,000)	1,580,000	(100,000)		
NON-SHS ELEMENTS PARTICULAR NEEDS HOUSING (CITC)											
Conversions & Upgradings											
Conversions & Upgradings	10092	50,000			0	75,000	25,000	50,000	25,000	Increased costs of disabled extension with social services	
Conversions & Upgradings		50,000	0	0	0	75,000	25,000	50,000	25,000		
Disabled Adaptations											
Aids & Adaptations 2017-20	10161	100,000			0	20,000	(80,000)	100,000	(80,000)	Backlog of work but difficult in current circumstances due to trades risk	
Disabled Adaptations		100,000	0	0	0	20,000	(80,000)	100,000	(80,000)		
Environmental Improvements											
HRA Roads & Footpaths Improvements	10099	100,000			0	100,000	0	100,000	0	Capacity within the Council unable to deliver projects at current time.	
MCB Tenant Community Improvement Fund	10100	200,000	2,021		2,021	200,000	(100,000)	200,000	(100,000)		
Environmental Improvements		300,000	2,021	0	2,021	200,000	(100,000)	300,000	(100,000)		
		450,000	2,021	0	2,021	295,000	(155,000)	450,000	(155,000)		
Council New Build Housing											
Off The Shelf Purchase	10105	1,000,000	109,571		109,571	250,000	(750,000)	1,000,000	(750,000)	Current market conditions and availability of suitable properties will reduce expenditure.	
Council New Build Housing		1,000,000	109,571	0	109,571	250,000	(750,000)	1,000,000	(750,000)		
		1,000,000	109,571	0	109,571	250,000	(750,000)	1,000,000	(750,000)		
OTHER											
Other Costs / HBMS											
Construction Design Management	10143	20,000			0	20,000	0	20,000	0	Progressing to procurement for replacement system with schedule in place for development.	
Computer Equipment - New (HBMS)	10111	225,000			0	225,000	0	225,000	0	Procurement of contract in progress.	
Lock Up Strategy	10185	100,000			0	100,000	0	100,000	0	Recent Scottish government announcements may mean that the general fund could claim grant income for this project.	
Westthaugh Travelling Site - Alva	10186	240,000			0	240,000	0	240,000	0		
IT Infrastructure - Clacks IT	10188	70,000	71,816		71,816	70,000	0	70,000	0		
Demolitions	10200	150,000			0	0	(150,000)	150,000	(150,000)	Process on hold but master plan being worked on.	
Other Costs / HBMS		805,000	71,816	0	71,816	655,000	(150,000)	805,000	(150,000)		
TOTAL CAPITAL EXPENDITURE		8,665,000	276,158	0	276,158	6,555,000	(2,110,000)	8,080,000	(1,525,000)		
Sale of Council Property											
Sale of Council Land	10148	0			0	0	0	0	0		
Sale of Council Property		0	0	0	0	0	0	0	0		
NET EXPENDITURE		8,665,000	276,158	0	276,158	6,555,000	(2,110,000)	8,080,000	(1,525,000)		0

Projects Outturn for August Outturn

Actuals to 26th October 2020

Appendix 7

Project ID	Director	Project Manager	Project Manager 2	Project ID Description	Cluster Description	Revised Budget Oct 2020	Expenditure	Total Expenditure	Income	Projected Spend	Projected (Under)/Over spend	Comments
						£	£	£	£	£	£	
Community Investment Strategy												
All Clackmannanshire Areas												
10042	SCRICKMAR	CJARVIE		Community Investment Grants	All Clackmannanshire Areas	103,094	12,376	12,376	-	40,000	- 63,094	Forecasted spend less than budget due to availability of contractors to quote and complete works within year.
10156	PLEONARD			City Region Deal	All Clackmannanshire Areas	500,000		-		-	500,000	progress depedent on 3rd parties - unlikely to be spent this year - to be carried forward to 21/22
10209	PLEONARD			City Region Deal - RPMO	All Clackmannanshire Areas	100,000		-		100,000	-	
	PLEONARD			City Region Deal - SIEC	All Clackmannanshire Areas	100,000		-		-	100,000	will likely be spent in 21/22, progress depedent on 3rd party - to support new building set up
10174	LSANDA	TDOCHERTY		Fitness Suite Replacement	All Clackmannanshire Areas	12,000		12,000	-	12,000	-	Expected to spend on budget
					Total All Clackmannanshire Areas	815,094	12,376	24,376	-	152,000	- 663,094	
Alloa Cluster												
10000		JALLAN		Schools ICT Replacement - Alloa	Alloa Cluster	41,000	3,729	3,729	-	40,759	- 241	Upgraded Cyber Security tools (Vipre) £3.6K & Video Card 49.47. Hardware refresh at Sunnyside Primary. Alloa Academy PE Windows 7 PC to replace and staff laptops replaced.
10003		TCARMAN		CCTV Equipment	Alloa Cluster	-	500	500	-	500	500	
10005	PLEONARD			Park, Play Area & Open Space Improvements - Alloa	Alloa Cluster	50,000	10,323	10,323	-	50,000	-	All play park projects are safety related and aimed at reducing maintenance costs, underway and committed.
10006	PLEONARD	MHILARION		Park Primary School - School Development	Alloa Cluster	1,586,124	136,238	529,969	-	1,586,124	-	DBDA Being Worked on. Prep work commenced at October School Holidays.
10007		JALLAN		School Interactive Display Replacement - Alloa	Alloa Cluster	139,930	128,537	137,844	-	137,844	- 2,086	Contingency for new whiteboard required before end of financial year.
10008	PLEONARD	MHILARION		Heritage Improvements	Alloa Cluster	23,590		-		23,590	-	Work being scoped out at Cemetry boundary wall at Greenside Street
10010	SCRICKMAR	L THOMSON	L ROBERTSON	Kilncraigs BPRA	Alloa Cluster	4,900,000		-		4,900,000	-	Payment made to offset investment and to conclude lease and loan arrangement
				Kilncraigs Roof	Alloa Cluster	50,000		-		50,000	-	Job being procured through Hubco
10169				Kelliebank Depot Improvements	Alloa Cluster	24,564		-		24,564	-	Work Programmed for November / December 2020
		JALLAN		Alloa Academy	Alloa Cluster	100,000		-		96,000	- 4,000	VM Ware licencing and 4 blade servers
				St Mungos Primary	Alloa Cluster	150,000		-		150,000	-	Awaiting options appraisal for approval by Council.
				Coalsnaughton Primary School	Alloa Cluster	10,000		-		10,000	-	Budget agreed for feasibility work/ Options Appraisals - Currently engaging with Hubco
10183	PLEONARD	SWALKER		Forthbank Recycling Centre Improvements	Alloa Cluster	148,141	7,361	11,996	-	148,141	-	HWRC Design Complete essential works will be complete by Year end
					Total Alloa Cluster	7,223,349	286,688	694,361	-	7,217,522	- 5,827	
Hillfoots Cluster												
10029	PLEONARD	SWALKER		Street Lighting Improvements - Tillicoultry & Alva	Hillfoots Cluster	-		914	-	-	-	Coding error has now been moved
10031		JALLAN		Schools ICT Replacement - Alva Academy	Hillfoots Cluster	50,276	7,338	7,338	-	50,276	-	Upgraded Cyber Security tools (Vipre) £3.6K + 88 monitors £3.5K
10032	PLEONARD	MHILARION		Alva Community Campus/Localty Hub/Primary School	Hillfoots Cluster	50,000		9,573	-	50,000	-	Awaiting Options / Appraisals - Engaging with Hubco
10034	PLEONARD			Hillfoots Glen - Upgrading - Alva	Hillfoots Cluster	-		1,330	-	-	-	
10035		JALLAN		School Interactive Display Replacement - Alva	Hillfoots Cluster	138,930	128,537	137,844	-	137,843	- 1,087	Contingency for new whiteboard required before end of financial year.

Project ID	Director	Project Manager	Project Manager 2	Project ID Description	Cluster Description	Revised Budget Oct 2020	Expenditure	Total Expenditure	Income	Projected Spend	Projected (Under)/Over spend	Comments
						£	£	£	£	£	£	
				Village and Small Town - Dollar	Hillfoots Cluster	25,617		-		-	25,617	Changing rooms -Carry forward to 21/22
10119	PLEONARD	MHILARION		Strathdevon Primary School	Hillfoots Cluster	29,750		-		29,750	-	Heating Replacment Programmed 20-21 -
10121	PLEONARD	MHILARION		Menstrie Primary School - School Development	Hillfoots Cluster	-	25,656	191,076	-	191,076	191,076	Work instructed at Menstrie Primary as part of Early Years Programme. Spend relates to retention due 20-21. Overspend can be potentially funded through underspends at Tillicoultry Primary or at Tullibody South
10124				Tillicoultry Primary School - School Development	Hillfoots Cluster	100,000		-		100,000	-	Heating Upgrade Programmed
		J ALLAN		Alva Academy	Hillfoots Cluster	50,000		-		50,000	-	Work programmed through AMEY contract
10140	PLEONARD			Village and Small Town - Alva	Hillfoots Cluster	325,000	26,846	47,123	-	325,000	-	This contract will start in January 2020; it will run for 45 weeks; the majority of the funding will come from Sustrans and CWSR. Will have to agree with Sustrans how much of their money we need to claim in 2020/21. This will determine when Council allocation is released.
					Total Hillfoots Cluster	769,573	188,377	395,198	-	933,945	164,372	
Lornhill Cluster												
10011		JALLAN		Schools ICT Replacement - Lornhill	Lornhill Cluster	48,346	8,696	8,696	-	47,196	- 1,150	Upgraded Cyber Security tools (Vipre), Monitor upgrades £22.5K, Blade Servers £16K
10014	PLEONARD	MHILARION		Craigbank Primary School Refurbishment	Lornhill Cluster	48,000	19,071	51,678	-	43,167	- 4,833	Retentions from Early Years Contract
10015	PLEONARD	AMURRAY		Safer Routes to School	Lornhill Cluster	155,000	270,292	382,169	-	155,000	-	SUSTRANS - Project complete
10017	PLEONARD	MHILARION		School Estate - Tullibody South Campus	Lornhill Cluster	1,650,000	437,781	1,124,076	-	810,000	- 840,000	Projecting Underspend in terms of agreed budget based on recent update with contractor. Underspend to be used to fund other Early Years work
10019		JALLAN		Network Infrastructure Improvement	Lornhill Cluster	-	7,709	7,709	-	7,709	7,709	
10020		JALLAN		School Interactive Display Replacement - Lornhill	Lornhill Cluster	139,941	128,537	137,844	-	137,843	- 2,098	Contingency for new whiteboard required before end of financial year.
10024	PLEONARD	MHILARION		Clackmannan Primary School Refurbishment/Locality Hub	Lornhill Cluster	10,000	17,954	48,861	-	29,002	19,002	This was retention from Previous Early Years Work to be funded via underspends at Tillicoultry Primary and Tullibody South
10043	PLEONARD	MHILARION		Lochies Primary School - School Development	Lornhill Cluster	39,530		-		10,000	- 29,530	Feasibility study, works will be carried out in future year, carry forward balance
10125	PLEONARD	MHILARION		Banchory Primary School	Lornhill Cluster	58,412		-		58,412	-	Heating Upgrade and Associated Works Instructed.
10164				Clackmannan CAP	Lornhill Cluster	93,000		-		-	- 93,000	Community Asset Transfer - cfwd if not concluded 20/21
		JALLAN		Lornhill Academy	Lornhill Cluster	50,000		-		48,000	- 2,000	VM Ware licencing and 2 blade servers
10149	PLEONARD			Environmental Monies - Clackmannanshire Regeneration	Lornhill Cluster	854,475	25,220	155,163	-	854,475	-	
					Total Lornhill Cluster	3,146,704	915,260	1,916,196	-	2,200,804	- 945,900	
					Total Community Investment Strategy	11,954,720	1,402,701	3,030,131	-	10,504,272	- 1,450,448	
Fleet Asset Management Strategy												
10062		KPHILIBEN		Vehicle Replacement	Fleet Asset Management Strategy	1,997,741	850,477	1,418,405	-	1,997,742	1	Fleet replacement budget fully earmarked, no under or overspend foreseen at this point in time but may be impacted by any delays in supplier delivery.
					Total Fleet Asset Management Strategy	1,997,741	850,477	1,418,405	-	1,997,742	1	
IT Asset Management Strategy												
10064		JALLAN		IT Infrastructure	IT Asset Management Strategy	144,000	42,583	44,774	-	142,277	- 1,723	Upgraded wireless network. & VM Ware licencing

Project ID	Director	Project Manager	Project Manager 2	Project ID Description	Cluster Description	Revised Budget Oct 2020	Expenditure	Total Expenditure	Income	Projected Spend	Projected (Under)/Over spend	Comments
						£	£	£	£	£	£	
10050	AMURRAY			Cycle Routes	Road Asset Management Strategy	337,309	8,273	15,299	-	337,309	-	All committed
10051	SWALKER			Carriageways - Road Improvements	Road Asset Management Strategy	1,894,000	896,462	1,025,833	-	1,894,000	-	Essential Capital Investment committed all schemes programmed and underway
10054	AMURRAY			Bridge Improvements	Road Asset Management Strategy	50,000	-	7,431	-	50,000	-	Projects at tender stage
10055	AMURRAY			Road Safety (CWSS)	Road Asset Management Strategy	-	102	28,541	-	-	-	This project is funded by CWSR income from Scot Govt / Transport Scotland. Claim is made in April 2021
10056	SWALKER			Lighting Replacement	Road Asset Management Strategy	250,000	49,007	85,719	-	250,000	-	Essential Capital Investment committed, all schemes programmed and underway
NEW				Roads Management System	Road Asset Management Strategy	10,000		-		10,000	-	Essential IT replacement, procurement progressed, await award
10172	AMURRAY			Parking Management Scheme	Road Asset Management Strategy	100,000	-	2,880	-	5,000	- 95,000	Project delayed due to COVID, carry forward to 21-22
					Total Road Asset Management Strategy	2,806,723	955,327	1,197,287	-	2,711,723	- 95,000	
					Total Roads Asset Management Strategy	2,827,538	958,690	1,327,076	-	2,732,538	- 95,000	
					Total Capital Programme	20,459,511	3,379,579	6,012,525	-	18,504,620	- 1,954,891	
Capital Project Funding												
				Government Grant		- 4,328,000			-	4,328,000	-	
				Specific Government Grant - CWSS		- 226,000			-	225,000	1,000	CWSR Grant is £225,000 for 2020/21.
				ELC		- 1,300,000			-	1,300,000	-	Various projects
				Clackmannan Regeneration		- 606,318			-	606,318	-	Project 10149
				Regeneration Funding Bowmar		- 550,000			-	550,000	-	Grant funding awarded 20-21 project 10208
				Town Centre Regeneration Fund		- 621,118			-	621,118	-	Project 10191
				Education Digital Devices		- 192,304			-	192,304	-	Project 10202
					Total Capital Funding	- 7,823,740	-	-	-	7,822,740	1,000	
					Net Capital Programme	12,635,771	3,379,579	6,012,525	-	10,681,880	- 1,953,891	