
Report to Housing, Health and Care Committee

Date: 23 August 2012

Subject: Social Services Quarter 1 Financial Performance Report

Report by: Director of Finance & Corporate Services

1.0 Purpose

- 1.1. The purpose of this report is to provide the financial performance position to date on the delivery of the approved budget for Social Policy during the first quarter of this financial year.

2.0 Recommendations

- 2.1. The Committee is asked to note the report and provide comment and challenge as appropriate.

3.0 Background

- 3.1 Following the recent changes to the political decision making structures, it has been agreed that Service Committees will receive a budget monitoring report at each meeting. In some instances this will require a re-alignment of service budgets to appropriate committees, but in the instance of Social Work all the activities of the joint service are covered by this Committee.
- 3.2 Budget monitoring reports to Committees are provided in more detail than the overall Council position that will be reported to the Resources and Audit Committee which will complement those reporting arrangements. Members should gain a greater understanding and awareness of Service activities, which in turn will facilitate more effective scrutiny and the maximisation of the use of resources.
- 3.3. The activities of the Social Work service are categorised under the following main headings.

Service	Description
Partnership	Joint working with Health including Integrated Mental Health Service
Strategy	Contracts & Commissioning, Workforce Development, Policy & Planning and Performance Monitoring
Adult Assessment & Care Management	Assessment and Purchasing of Community Care Services for Adults
Adult Provision	In-house provision of Adult Services
Child Care - Clacks Locality	Frontline Childcare teams responsible for Looked After Children and Intake Services
Child Care - Resourcing, Disability and Through Care and After Care	Fostering, Kinship Care, Throughcare & Aftercare, Woodside Children's home, Disability Team & Early Years
Child Care - Protection, Early Intervention, Youth Justice	Child Protection, Early Intervention Service & Youth Justice
Criminal Justice Service	S27 Community Service, Probation, Court Service, Bail, Social Enquiry Reports & MAPP (Multi-Agency Public Protection Arrangements)
Social Services Management	Management Team & Facilities Management

4.0 Budget Position

- 4.1 The undernoted table outlines the financial position as at end of June comparing the actual expenditure for this period with the profiled budgeted expenditure.
- 4.2 The variance to date can generally be attributed to Change Fund carried forward from previous year and projects not yet started (£141K). Underspend in Care Homes due to reduction in block bookings and shifting the balance of care (£191K). Staff vacancies and savings throughout the service (£181K). A detailed analysis of variances in respect of each service area is attached in the appendix to the report.

	Annual Budget	Budget to 30/06/2012	Actual to 30/06/2012	Variance
	£000	£000	£000	£000
Revenue				
Partnership	954	292	251	(41)
Strategy	368	112	(12)	(125)
Adult - Assessment & Care Management	10,190	2,524	2,319	(205)
Adult - Provision	4,404	1,115	1,021	(94)
Child Care - Clacks Locality	3,906	914	830	(85)
Child Care - Resourcing, Disability, TCAC	4,779	1,101	1,058	(43)
Child Care - Protection, Early Intervention, Youth Justice	334	73	72	(1)
Criminal Justice Service	0	(16)	(22)	(6)
Social Services Management	648	328	287	(41)
Total	25,583	6,443	5,804	(639)
Capital				
Telecare	75	0	44	(31)

4.3 This monitoring report only focuses on budgeted expenditure against actual expenditure to date, but it is the intention that once all financial reporting parameter are aligned for all services, that at a future date outturn reporting will be incorporated into these monitoring reports.

5.0 Progress in Delivering Planned Budget Savings in 2012/13

5.1 The 2012/13 budget incorporated savings of £633k for Social Services through a combination of budget challenge savings, management actions and specific Council decisions. At the first quarter of the year we are able to report that 71% of these savings have been secured totalling £448k. The table below summarises the position.

Description of Saving	Saving 12-13 £	Progress £	Comment
Restructure - adult day services	(50,000)	(50,000)	Restructure complete
Restructure - mental health	(6,250)	(6,250)	Restructure complete
Restructure - child care	(12,658)	(12,658)	Restructure complete
Restructure - elderly care	(50,000)	(50,000)	Restructure complete
Redesign individual care plans	(50,000)	(50,000)	Actioned 2011/12
Renegotiate Supporting People Contracts	(15,000)	(15,000)	Actioned 2011/12
Shared services with other councils - fostering and adoption	(12,658)	(12,658)	Actioned 2011/12
Better rates for outsourced services - Scottish Association Mental Health	(6,250)	(6,250)	Actioned
Adult Care - Community Care purchasing Budget	(200,000)	(200,000)	Achieved 2011/12
Conversion of a property at Upper Mill Street, Tillicoultry	(185,000)	0	Project ongoing. Savings will follow after project completed
Review Service Delivery to Vulnerable Families	(45,000)	(45,000)	Actioned 2011/12
	(632,816)	(447,816)	

6.0 Planned Developments

- 6.1 The Budget Strategy report considered by the Council on 16 August outlined the progress made and associated timelines for business case development and consultation through the use of a standard template. Social Policy are currently working on business cases, which will take forward the outputs suggested at the budget challenge session. At future meetings, it is the intention that this Committee will be provided with updates on progress on these developments and highlight any significant issues or considerations.
- 6.2 It is recognised that across all Council Services there has been significant changes in budget holders following the restructuring activity. This is an opportune time to provide specific training on financial management and budget holder responsibilities. The Accountancy Service will start to deliver this training during the year, and have committed to starting this exercise with Social Policy staff.
- 6.3 In many cases the restructuring activity is ongoing, and this will necessitate continual re-alignment of budgets to match budget holder responsibilities.

7.0 Conclusions

- 7.1 This is the first financial monitoring report under the new Committee reporting arrangements. The process, together with style and content, will need time to develop, and will evolve in response to perceived needs. The important factor is that these reports are seen as a positive development in aiding effective scrutiny of Service budgetary performance.

7.2 The budget monitoring approach is being designed to link with other reporting arrangements. In other words, the Service Committee financial reports will feed into the corporate financial reporting through the Resources and Audit Committee, and Council Budget Strategy updates.

8.0 Sustainability Implications

8.1 N/A.

9.0 Resource Implications

9.1 The financial implication are outlined within the report.

10.0 Exempt Reports

10.1 Is this report exempt? Yes (please detail the reasons for exemption below) No

11.0 Declarations

The recommendations contained within this report support or implement our Corporate Priorities and Council Policies.

(1) **Our Priorities 2008 - 2011** (Please tick)

The area has a positive image and attracts people and businesses

Our communities are more cohesive and inclusive

People are better skilled, trained and ready for learning and employment

Our communities are safer

Vulnerable people and families are supported

Substance misuse and its effects are reduced

Health is improving and health inequalities are reducing

The environment is protected and enhanced for all

The Council is effective, efficient and recognised for excellence

(2) **Council Policies** (Please detail)

12.0 Equalities Impact

12.1. Have you undertaken the required equalities impact assessment to ensure that no groups are adversely affected by the recommendations? N/A

13.0 Legality

13.1. In adopting the recommendations contained in this report, the Council is acting within its legal powers. Yes ✓

14.0 Appendices

14.1. Budget v Actual detailed variances.

15.0 Background Papers

11.1 Have you used other documents to compile your report? (All documents must be kept available by the author for public inspection for four years from the date of meeting at which the report is considered)

Yes ✓ (please list the documents below) No


Clackmannanshire Council General Services Revenue and Capital Budget
2012/13

Clackmannanshire Council: Budget Strategy Update 16 August 2012

Author(s)

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Approved by

NAME	DESIGNATION	SIGNATURE
Martin Dunsmore	Accountancy Manager	
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Social Services - Budget v Actual to date

	Budget to date £'000	Actual to date £'000	Variance £'000	Action Required
Social Services to date Variance	6,443	5,804	(638)	
Partnership				
IMHS Pooled Budget - Accrual of £40K for Health still unpaid	113	72	(41)	
Other Partnership	180	180	(0)	
Partnership Total	292	251	(41)	
Strategy				
Contracts & Commissioning	41	39	(2)	
Workforce development - staff training coded here but should be centralised	18	32	15	
ADP	17	18	1	
Policy Officers - No income from Health for Health Improvement officer yet	19	25	5	
Voluntary Organisations - Payments to Community House less than budgeted	17	14	(3)	
Change Fund - Previous years change fund carried forward. Projects not started	0	(141)	(141)	
Strategy Total	112	(12)	(125)	
Adult Assessment & Care Management				
Purchasing - Underspend in Care Homes due to reduction in block bookings and clients being cared for at home.	2,094	1,902	(191)	
Staffing - Staff vacancies in first quarter. Awaiting posts being filled	363	338	(26)	
CES - Extra member of staff to be funded from Assessment & care management staffing budget underspend	28	54	26	
Adult Support & Protection - Staff vacancies in first quarter	39	25	(14)	
Adult Assessment & Care Management Total	2,524	2,319	(205)	
Adult Provision				
Comms Centre - Charges from Stirling Council not through yet	18	2	(17)	
Menstrie House - Staffing underspend due to enhanced payments being less than budget	358	333	(25)	
Homecare - Staffing underspend in first quarter	77	70	(7)	
MECS	90	90	1	
Eld Prov Mgt Unit - Care commission costs paid in May but budget phased monthly	12	16	4	
Ludgate House - Staffing underspend due to enhanced payments being less than budget	247	235	(11)	
MOW	7	8	1	

Social Services - Budget v Actual to date

	Budget to date £'000	Actual to date £'000	Variance £'000	Action Required
Day Services - Staffing Underspend due to vacancies	286	259	(27)	
Advocacy etc - New shared advocacy service saving and income from the café	19	9	(11)	
Adult Provision Total	1,115	1,021	(94)	
Child Care - Clacks Locality				
Residential Schools - Awaiting invoices from schools for new placements. Large overspend predicted	560	538	(22)	
Safeguarders - Invoices late from lawyers	10	8	(2)	
Duty Intake Team - Staffing vacancies	100	76	(24)	
Long Term Team - Staffing vacancies at start of year	157	143	(14)	
Intensive Support	33	33	0	
Review Officers	34	31	(3)	
Who Cares - invoice paid but budget phased in September	0	2	2	
EDT - No invoices received yet from Stirling Council	22	(1)	(23)	
Child Care - Clacks Locality Total	915	830	(85)	
Child Care - Resourcing, Disability TCAC				
Kinship Care - currently have 5 children less than budgeted in Kinship care	84	79	(5)	
Fostering & Adoption - Foster payments made in advance, need to rephase budget. Likely underspend at year end	295	314	18	
External Foster Care - Children moved from internal foster care due to lack of internal foster carers	155	186	32	
Woodside - Equipment and maintenance budgets fully spent in first quarter. Staffing underspend due to enhancements.	137	139	2	
Care Leavers Work Experience - care leavers not in post as yet	15	1	(14)	
Throughcare & Aftercare - staff vacancy and reduced number of children in accommodation	68	49	(19)	
Disability Team - Staff vacancy	95	80	(15)	
Early Years - Staff vacancies in first 6 months	249	208	(41)	
Voluntary Organisations	2	1	(1)	
Child Care - Resourcing, Disability, TCAC Total	1,101	1,058	(43)	
Child Care - Protection, EEI & Youth Justice				
Youth Justice - Staff Vacancy	70	64	(6)	
Child Protection - Staff in Hub with no budget	3	8	5	
Early Intervention	0	0	(0)	
Child Care - Protection, EEI & Youth Justice Total	73	72	(1)	
Criminal Justice Service				
Section 27 Grant - Accrual for 2011/12 S27 retention not received yet from Scottish Government	(12)	(27)	(15)	
Glenochil Prison - Delay in June invoice to Glenochil Prison	(5)	5	10	
Criminal Justice Service Total	(16)	(22)	(6)	
Management Support				

Social Services - Budget v Actual to date

	Budget to date £'000	Actual to date £'000	Variance £'000	Action Required
Management Team - Vacancy in first quarter and saving from shared services management restructure	260	219	(40)	
Facilities Management	68	68	0	
Management Support Total	<u>328</u>	<u>287</u>	<u>(41)</u>	
Social Services Total	<u>6,443</u>	<u>5,804</u>	<u>(638)</u>	

