
Report to: Clackmannanshire Council

Date of Meeting: 14 August, 2014

Subject: Health and Social Care Integration

Report by: Head of Social Services

1.0 Purpose

- 1.1. This report provides an update on progress in taking forward health and care integration further to the decision by Council in June 2014 to pursue this based on the body corporate model of governance and jointly with Stirling Council.

2.0 Recommendations

- 2.1. It is recommended that Council notes:
- a) the progress which has been made in taking forward health and care integration;
 - b) the intention to submit a draft consultative Scheme of Integration to partners in October, 2014.

3.0 Background

- 3.1 The report to Council on 26 June, 2014, on Health and Social Care Integration provided details about the national policy objectives of integration and the scope of the integration schemes as detailed in proposed secondary legislation.
- 3.2 Council agreed at that meeting a number of matters including the adoption of the body corporate model as the proposed governance model. This model requires that all functions within the scope of integration are overseen by a newly established, separate legal entity - the Integration Joint Board - which will include representation from the Health Board and elected members.
- 3.3 In this model, a Chief Officer must be recruited, that person being accountable to the chief executives of both the Council and the Health Board. No staff will transfer to the Integration Joint Board and services will continue to be delivered by the Council and the Health Board. The Integration Joint Board, however, will be responsible for the allocation of resources to deliver the services and will be accountable for service delivery and performance.

- 3.4 Integration Schemes setting out governance, finance and planning arrangements for integration have to be submitted for Ministerial approval by 1 April, 2015, having been through a period of statutory consultation.
- 3.5 In addition to an Integration Scheme, partnerships are also required to produce a Strategic Plan for the delivery of integrated services and this requires to be finalised by 1 April, 2016. The Strategic Plan will incorporate commissioning strategies for all adult care groups. A Strategic Group requires to be established to oversee the development of the Strategic Plan and the proposed membership of the Group includes representation from key partners and stakeholders including service user and carer representation and third and independent sector.

4.0 Local Context & Progress

- 4.1 Further to the decisions of this Council and Stirling Council in June to progress integration on a shared basis with NHS Forth Valley, work has been progressed jointly.
- 4.2 A core group of chief officers from the local authorities and NHS Forth Valley is co-ordinating activities and overseeing the following six workstreams:
- Governance
 - Finance
 - Human Resources & Organisational Development
 - Consultation and Engagement
 - Clinical and Practice Governance
 - Planning and Operational
- 4.3 Key tasks being taken forward within each workstream are as follows:

Governance

- Formation of new partnership structure including purpose, remit, accountability and scheme of delegation, links to existing structures e.g. CPP
- Determine transfer of each service and necessary service support
- Establish information/data sharing protocol within partnership.
- Complete Privacy Impact Assessment
- Initiate development of integrated performance management framework
- Support HR/OD & Finance Groups

Finance

- Establish budgets for all services within scope (revenue and capital)
- Establish costing methodology to determine transfer of resource to new governance arrangement
- Establish financial control & monitoring framework

HR/OD

- Establish HR framework
- Establish joint recruitment procedures
- Liaise with trade unions as appropriate
- Link with OD and training

- Work with Consultation & Engagement Group to inform communication to staff and public

Consultation & Engagement

- Develop communications and engagement strategy for internal and external stakeholder
- Establish Strategic Planning Group to initially facilitate partner and public involvement
- Work with HR/OD group regarding appropriate key messages for staff

Clinical & Practice Governance

- Clarify and agree clinical and practice governance arrangements, control and monitoring
- Links to acute services etc

Planning & Operational

- Develop Strategic Plan
- Clarify outcomes & service delivery planning
- Develop Commissioning Strategy
- Establish clarity regarding operational activity and implications of new structure
- Performance Management Framework
- Organisational Development and workforce planning
- Locality Planning Approach

- 4.4 There is significant officer engagement from Clackmannanshire Council in these workstreams, not just from social services but also from Legal, HR and Finance.
- 4.5 Albeit there are two partnerships in Forth Valley (i.e. Clacks & Stirling Partnership and Falkirk Partnership), developments are being progressed on a Forth Valley basis where appropriate to support consistency and economies of scale. In this regard, the working relationship with officers of Falkirk Council has been very positive.
- 4.6 A full day event focused on integration took place on 10 July 2014 when around 60 key officers representing the three Councils and the Health Board met. The outputs from this event are informing the preparation of the draft integration scheme. A further event is planned to take place mid August
- 4.7 It is anticipated that the consultative draft integration scheme will be finalised so that each partner can consider it in October. Subject to that consideration, it is anticipated that there will be formal consultation on the draft Scheme between October and December 2014, with the Scheme being finalised in the first quarter of 2015 to ensure submission for Ministerial approval by the statutory deadline. The strategic timeline for development of the Scheme and implementation of integrated arrangements is set out in the Appendix to this report. (While statutory regulations relating to the legislation were at the time of writing this report still subject to consultation, it is not anticipated these regulations will be amended so significantly as to alter this strategic timeline.)

4.8 As agreed in June, the current Partnership Board will form the basis of a Shadow Integration Board and proposals will be put to that Board when it meets on 9 September, 2014, to take this forward. This will include requirements for expanded membership as set out in the Regulations.

4.9 Transitional resources have been allocated by the Scottish Government to support the delivery of integration. A plan has been developed to use the transitional resources to support the key statutory requirements of the legislation and delivery.

5.1 Sustainability Implications

5.1 There are no specific sustainability implications identified at this stage.

6.0 Resource Implications

Financial Details

6.1 There are no financial implications directly from this report. There will be implications as a result of health and care integration and these will be set out in the Scheme of Integration and reported to Council in future.

Staffing

6.2 There are no implications for the staffing establishment directly from this report. The body corporate model requires at least the appointment of a Chief Officer and details of that will come forward with the draft Scheme of Integration.

7.0 Exempt Reports

7.1 Is this report exempt? No

8.0 Declarations

The recommendations contained within this report support or implement our Corporate Priorities and Council Policies.

(1) Our Priorities

Vulnerable people and families are supported	<input checked="" type="checkbox"/>
Substance misuse and its effects are reduced	<input checked="" type="checkbox"/>
Health is improving and health inequalities are reducing	<input checked="" type="checkbox"/>
The Council is effective, efficient and recognised for excellence	<input checked="" type="checkbox"/>

(2) Council Policies (Please detail)

9.0 Equalities Impact

9.1 Have you undertaken the required equalities impact assessment to ensure that no groups are adversely affected by the recommendations? N/A

10.0 Legality

10.1 It has been confirmed that in adopting the recommendations contained in this report, the Council is acting within its legal powers. Yes

11.0 Appendices

11.1 Please list any appendices attached to this report. If there are no appendices, please state "none".

Strategic Timeline

12.0 Background Papers

12.1 Have you used other documents to compile your report? (All documents must be kept available by the author for public inspection for four years from the date of meeting at which the report is considered)

1 - Report to Council of 26 June, 2014, - Health & Social Care Integration

2 - Summary of Workstream Remits

Author(s)

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Approved by

NAME	DESIGNATION	SIGNATURE
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APPENDIX

Health and Social Care Integration - Strategic Timeline	By Whom	Start By	Complete By
Authority to proceed with proposed model, scope and timeline and to establish shadow Health and Social Care Partnership	Councils & NHS Board		June 2014
Take forward actions to support development of framework and delivery plan under the following workstreams: <ul style="list-style-type: none"> • Governance • Finance (including cost methodologies) • ICT • Outcomes and Performance • Workforce and Organisational Development • Consultation, Communications & Engagement (including stakeholder involvement) • Clinical Governance 	Council and NHS FV chief officers reporting to partners and shadow integration board	June 2014	March 2015
Establish Shadow Integration Board	Councils and NHS Board via Partnership Board	July 2014	September 2014
Develop draft Integration Scheme for presentation to partners	Council and NHS chief officers reporting to partners and shadow integration board	June 2014	October 2014
Formal consultation period on draft Integration Scheme	Councils and NHS Board	October 2014	December 2014
Final Integration Scheme agreed	Councils and NHS Board	January 2015	March 2015
Submit Integration Scheme for Ministerial Approval	Chief officers of Council and NHS FV		By end March
Appoint Chief Officer	Shadow Integration Board & Integration Board	March 2015	July 2015
Establishment of Integrated Joint Board by Ministerial Approval	Minister		April 2015
All Integrated arrangements in place	Chief Officer reporting to Integration Board		By April 2016